

REPORT DATE: 24-05-2019

The X-Compliance Report is a transparency initiative of The Nigerian Stock Exchange (The Exchange), which is designed to maintain market integrity and protect investors by providing compliance related information on all listed companies.

Companies that are listed on The Exchange are required to adhere to high disclosure standards which are prescribed in the Rulebook of The Exchange, 2015 (Issuers’ Rules), and other Rules of The Exchange, from time to time. Financial information which is periodic disclosure, as well as on-going material information disclosure should be released to The Exchange in a timely manner to enable it efficiently perform its function of maintaining an orderly market. The X-Compliance Report is updated every Friday at the close of market.

THE X-COMPLIANCE REPORT PROVIDES THE FOLLOWING INFORMATION

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X-COMPLIANCE REPORT

PART A: COMPLIANCE REPORT



SCHEDULE 1: RELEASED FINANCIALS

This Schedule contains the list of financial statements filed by listed companies via the Issuers' Portal of The Exchange. Details of the released financials can be found on the website of The Exchange via this [link](#).

SCHEDULE 2: EARLY FILERS OF QUARTERLY & AUDITED FINANCIAL STATEMENTS

Early filers are companies that file their interim financial statements at least two weeks before the due date, and Audited financial statements at least four weeks before the due date.

Companies listed on The Exchange are required to file their financial statements on a timely basis in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). The Exchange has identified the companies listed on Schedule 2 as companies that have met the minimum listing standards in terms of timely disclosure of their annual and quarterly financial statements.

The Exchange is extremely proud of these companies and will continue to showcase listed companies that imbibe high corporate governance practices.

2018 AUDITED FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	YEAR END	DUE DATE	DATE FILED
1.	Guinness Nigeria Plc	30 June 2018	30 September 2018	29 August 2018
2.	Newrest ASL Nigeria Plc	31 December 2018	31 March 2019	13 February 2019
3.	Transcorp Hotels Plc	31 December 2018	31 March 2019	14 February 2019
4.	Transnational Corporation Plc	31 December 2018	31 March 2019	15 February 2019
5.	Nigerian Breweries Plc	31 December 2018	31 March 2019	15 February 2019
6.	Austin Laz & Company Plc	31 December 2018	31 March 2019	18 February 2019
7.	Zenith Bank Plc	31 December 2018	31 March 2019	19 February 2019
8.	United Capital Plc	31 December 2018	31 March 2019	21 February 2019
9.	Africa Prudential Plc	31 December 2018	31 March 2019	26 February 2019
10.	Dangote Cement Plc	31 December 2018	31 March 2019	27 February 2019
11.	McNichols Consolidated Plc	31 December 2018	31 March 2019	1 March 2019

2019 INTERIM FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	QTR END	QTR	DUE DATE	DATE FILED
1.	Infinity Trust Mortgage Bank Plc	31 March 2019	First Quarter	30 April 2019	2 April 2019
2.	Secure Electronic Technology Plc	31 March 2019	First Quarter	30 April 2019	5 April 2019
3.	Access Bank Plc	31 March 2019	First Quarter	30 April 2019	16 April 2019



SCHEDULE 3: DELINQUENT FILERS OF AUDITED FINANCIAL STATEMENTS

The Exchange has identified the companies listed on Schedule 3 as companies that fell short of the minimum listing standards in terms of timely disclosure of their audited annual financial statements and have Missed Regulatory Filings (MRF) or are Awaiting Regulatory Approval (AWR) from their primary regulators.

The sanctions for non-compliance with periodic financial disclosure obligations are clearly spelt out in the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules).

AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2018				
S/N	COMPANY	YEAR END	SYMBOL	REMARKS
1.	Roads Nigeria Plc	March	MRF	Non-Rendition of Audited Financial Statements 2018
2.	Nigerian German Chemical Plc	March	MRS	Non-Rendition of Audited Financial Statements 2018
3.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of Audited Financial Statements 2018
4.	DN Tyre & Rubber Plc	September	DIP	Non-Rendition of Audited Financial Statements 2018
5.	Deap Capital Management & Trust Plc	September	DIP	Non-Rendition of Audited Financial Statements 2018
6.	A.G Leventis (Nigeria) Plc	December	BMF	Non-Rendition of Audited Financial Statements 2018
7.	FTN Cocoa Processors Plc	December	MRS	Non-Rendition of Audited Financial Statements 2018
8.	Goldlink Insurance Plc	December	MRS	Non-Rendition of Audited Financial Statements 2018
9.	African Alliance Insurance Plc	December	AWR	Non-Rendition of Audited Financial Statements 2018
10.	C & I Leasing Plc	December	AWR	Non-Rendition of Audited Financial Statements 2018
11.	Capital Oil Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
12.	Conoil Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
13.	Cornerstone Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
14.	Daar Communications Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
15.	Guinea Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018



AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2018

16.	International Breweries Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
17.	Lafarge Africa Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
18.	Lasaco Assurance Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
19.	Mutual Benefits Assurance Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
20.	Niger Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
21.	NPF Microfinance Bank	December	MRF	Non-Rendition of Audited Financial Statements 2018
22.	Presco Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
23.	R.T Briscoe (Nigeria) Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
24.	Resort Savings & Loans Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
25.	Royal Exchange Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
26.	Standard Alliance Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
27.	Universal Insurance Company Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
28.	Anino International Plc	December	MRS	Non-Rendition of Audited Financial Statements 2018
29.	Juli Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
30.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2018
31.	Unic Diversified Holdings Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
32.	Evans Medical Plc	December	DIP	Non-Rendition of Audited Financial Statements 2018
33.	International Energy Insurance Plc	December	MRS	Non-Rendition of Audited Financial Statements 2018
34.	Omatek Ventures Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
35.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2018
36.	Fortis Microfinance Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018



AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2018

37.	Skye Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements 2018
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AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2017

S/N	COMPANY	YEAR END	SYMBOL	REMARKS
1.	Nigerian German Chemical Plc	March	MRF	Non-Rendition of Audited Financial Statements 2017
2.	Roads Nigeria Plc	March	MRF	Non-Rendition of Audited Financial Statements 2017
3.	DN Tyre & Rubber Plc	September	DIP	Non-Rendition of Audited Financial Statements 2017
4.	Fortis Microfinance Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements 2017
5.	Skye Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements 2017
6.	Staco Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements 2017
7.	Anino International Plc	December	MRS	Non-Rendition of Audited Financial Statements 2017
8.	Juli Plc	December	MRF	Non-Rendition of Audited Financial Statements 2017
9.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2017
10.	Unic Diversified Holdings Plc	December	MRF	Non-Rendition of Audited Financial Statements 2017
11.	Evans Medical Plc	December	DIP	Non-Rendition of Audited Financial Statements 2017
12.	Omatek Ventures Plc	December	MRF	Non-Rendition of Audited Financial Statements 2017
13.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2017
14.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of Audited Financial Statements 2017

AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2016

S/N	COMPANY	YEAR END	SYMBOL	REMARKS
1.	DN Tyre & Rubber Plc	September	DIP	Non-Rendition of Audited Financial Statements 2016
2.	Skye Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements 2016



AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2016

3.	Anino International Plc	December	MRS	Non-Rendition of Audited Financial Statements 2016
4.	Juli Plc	December	MRF	Non-Rendition of Audited Financial Statements 2016
5.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2016
6.	Evans Medical Plc	December	DIP	Non-Rendition of Audited Financial Statements 2016
7.	Omatek Ventures Plc	December	MRF	Non-Rendition of Audited Financial Statements 2016
8.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2016
9.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of Audited Financial Statements 2016

AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2015

S/N	COMPANY	YEAR END	SYMBOL	REMARKS
1.	DN Tyre & Rubber Plc	September	DIP	Non-Rendition of Audited Financial Statements 2015
2.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of Audited Financial Statements 2015
3.	Omatek Ventures Plc	December	MRF	Non-Rendition of Audited Financial Statements 2015
4.	Anino International Plc	December	MRS	Non-Rendition of Audited Financial Statements 2015
5.	Juli Plc	December	MRF	Non-Rendition of Audited Financial Statements 2015
6.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2015
7.	Evans Medical Plc	December	DIP	Non-Rendition of Audited Financial Statements 2015
8.	Omatek Ventures Plc	December	MRF	Non-Rendition of Audited Financial Statements 2015
9.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2015

AUDITED ANNUAL FINANCIAL STATEMENTS: YEAR 2014

S/N	COMPANY	YEAR END	SYMBOL	REMARKS
1.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements 2014



SCHEDULE 4: DELINQUENT FILERS OF QUARTERLY FINANCIAL STATEMENTS

Companies listed on The Exchange are required to file their quarterly accounts within 30 days after the end of the quarter in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). Details of the quarterly filings can be downloaded from the released financials on the website.

The sanctions for non-compliance with periodic financial disclosure obligations are clearly spelt out in the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). Schedule 4 shows details of companies that have defaulted in their quarterly filings with The Exchange.

QUARTERLY FINANCIALS FOR THE PERIOD ENDED MARCH 2019				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	A.G Leventis Nigeria Plc	December	BMF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
2.	African Alliance Insurance Plc	December	AWR	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
3.	Anino International Plc	December	MRS	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
4.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
5.	C & I Leasing Plc	December	AWR	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
6.	Capital Oil Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
7.	Conoil Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
8.	Cornerstone Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
9.	Daar Communications Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
10.	Deap Capital Management & Trust Plc	September	DIP	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019



11.	DN Tyre & Rubber Plc	September	DIP	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
12.	Evans Medical Plc	December	DIP	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
13.	First Aluminium Nigeria Plc	December	DIP	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
14.	Fortis Microfinance Bank Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
15.	FTN Cocoa Processors Plc	December	MRS	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
16.	Goldlink Insurance Plc	December	MRS	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
17.	Guinea Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
18.	International Breweries Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
19.	International Energy Insurance Plc	December	MRS	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
20.	Juli Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
21.	Lafarge Africa Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
22.	Lasaco Assurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
23.	Mutual Benefits Assurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
24.	Niger Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
25.	NPF Microfinance Bank Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019



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26.	Omatek Ventures Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
27.	Presco Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
28.	R.T Briscoe Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
29.	Resort Savings & Loans Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
30.	Royal Exchange Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
31.	Skye Bank Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
32.	Smart Products Nigeria Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
33.	Staco Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
34.	Standard Alliance Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
35.	Veritas Kapital Assurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
36.	Unic Diversified Holding Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
37.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019
38.	Universal Insurance Company Plc	December	MRF	Non-Rendition of Quarterly Financial Statement for the Period Ended 31 March 2019

QUARTERLY FINANCIALS FOR THE PERIOD ENDED JANUARY 2019

S/N	COMPANY	Year End	SYMBOL	REMARKS
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1.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of Third Quarter Financial Statements 2019
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QUARTERLY FINANCIALS FOR THE PERIOD ENDED JANUARY 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of Third Quarter Financial Statements 2018

QUARTERLY FINANCIALS FOR THE PERIOD ENDED MARCH 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Fortis Microfinance Bank Plc	December	MRF	Non-Rendition of First Quarter Financial Statements 2018
2.	Skye Bank Plc	December	MRF	Non-Rendition of First Quarter Financial Statements 2018
3.	Smart Products Nigeria Plc	December	MRF	Non-Rendition of First Quarter Financial Statements 2018
4.	Staco Insurance Plc	December	MRF	Non-Rendition of First Quarter Financial Statements 2018
5.	Anino International Plc	December	MRS	Non-Rendition of First Quarter Financial Statements 2018
6.	Juli Plc	December	MRF	Non-Rendition of First Quarter Financial Statements 2018
7.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of First Quarter Financial Statements 2018
8.	Unic Diversified Holdings Plc	December	MRF	Non-Rendition of First Quarter Financial Statements 2018
9.	Evans Medical Plc	December	DIP	Non-Rendition of First Quarter Financial Statements 2018
10.	International Energy Insurance Plc	December	MRS	Non-Rendition of First Quarter Financial Statements 2018
11.	Omatek Ventures Plc	December	MRF	Non-Rendition of First Quarter Financial Statements 2018
12.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of First Quarter Financial Statements 2018
13.	Deap Capital Management & Trust Plc	September	DIP	Non-Rendition of Second Quarter Financial Statements 2018

QUARTERLY FINANCIALS FOR THE PERIOD ENDED JUNE 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Fortis Microfinance Bank Plc	December	MRF	Non-Rendition of Second Quarter Financial Statements 2018



QUARTERLY FINANCIALS FOR THE PERIOD ENDED JUNE 2018				
2.	Skye Bank Plc	December	MRF	Non-Rendition of Second Quarter Financial Statements 2018
3.	Staco Insurance Plc	December	MRF	Non-Rendition of Second Quarter Financial Statements 2018
4.	Smart Products Nigeria Plc	December	MRF	Non-Rendition of Second Quarter Financial Statements 2018
5.	Roads Nigeria Plc	March	MRF	Non-Rendition of First Quarter Financial Statements 2018
6.	Nigerian German Chemical Plc	March	MRS	Non-Rendition of First Quarter Financial Statements 2018
7.	Anino International Plc	December	MRS	Non-Rendition of Second Quarter Financial Statements 2018
8.	Juli Plc	December	MRF	Non-Rendition of Second Quarter Financial Statements 2018
9.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Second Quarter Financial Statements 2018
10.	Unic Diversified Holdings Plc	December	MRF	Non-Rendition of Second Quarter Financial Statements 2018
11.	Evans Medical Plc	December	DIP	Non-Rendition of Second Quarter Financial Statements 2018
12.	International Energy Insurance Plc	December	MRS	Non-Rendition of Second Quarter Financial Statements 2018
13.	Omatek Ventures Plc	December	MRF	Non-Rendition of Second Quarter Financial Statements 2018
14.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Second Quarter Financial Statements 2018
15.	Deap Capital Management & Trust Plc	September	DIP	Non-Rendition of Third Quarter Financial Statements 2018
16.	DN Tyre & Rubber Plc	September	DIP	Non-Rendition of Third Quarter Financial Statements 2018

QUARTERLY FINANCIALS FOR THE PERIOD ENDED JULY 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of First Quarter Financial Statements 2018

QUARTERLY FINANCIALS FOR THE PERIOD ENDED SEPTEMBER 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Fortis Microfinance Bank Plc	December	MRF	Non-Rendition of Third Quarter Financial Statements 2018



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QUARTERLY FINANCIALS FOR THE PERIOD ENDED SEPTEMBER 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
2.	Staco Insurance Plc	December	MRF	Non-Rendition of Third Quarter Financial Statements 2018
3.	Smart Products Nigeria Plc	December	MRF	Non-Rendition of Third Quarter Financial Statements 2018
4.	Skye Bank Plc	December	MRF	Non-Rendition of Third Quarter Financial Statements 2018
5.	Roads Nigeria Plc	March	MRF	Non-Rendition of Second Quarter Financial Statements 2018
6.	Juli Plc	December	MRF	Non-Rendition of Third Quarter Financial Statements 2018
7.	Unic Diversified Holdings Plc	December	MRF	Non-Rendition of Third Quarter Financial Statements 2018
8.	Omatek Ventures Plc	December	MRF	Non-Rendition of Third Quarter Financial Statements 2018
9.	Anino International Plc	December	MRS	Non-Rendition of Third Quarter Financial Statements 2018
10.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Third Quarter Financial Statements 2018
11.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Third Quarter Financial Statements 2018
12.	Nigerian German Chemical Plc	March	MRS	Non-Rendition of Second Quarter Financial Statements 2018
13.	International Energy Insurance Plc	December	MRS	Non-Rendition of Third Quarter Financial Statements 2018
14.	Evans Medical Plc	December	DIP	Non-Rendition of Third Quarter Financial Statements 2018

QUARTERLY FINANCIALS FOR THE PERIOD ENDED OCTOBER 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Multi-Trex Integrated Foods Plc	April	BMF	Non-Rendition of Second Quarter Financial Statements 2018

QUARTERLY FINANCIALS FOR THE PERIOD ENDED DECEMBER 2018				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	ROADS NIGERIA PLC	March	MRF	Non-Rendition of Third Quarter Financial Statements 2018
2.	NIGERIAN GERMAN CHEMICAL PLC	March	MRS	Non-Rendition of Third Quarter Financial Statements 2018
3.	DEAP CAPITAL MGT & TRUST PLC	September	DIP	Non-Rendition of First Quarter Financial Statements 2018
4.	DN TYRE & RUBBER PLC	September	DIP	Non-Rendition of First Quarter Financial Statements 2018

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PART B: ENFORCEMENT ACTIONS/ACTIVITIES



SCHEDULE 5: DISCLOSURE VIOLATIONS

UNAUTHORISED PUBLICATIONS, NON-DISCLOSURE OF MATERIAL INFORMATION, ETC

Every listed company is required to provide The Exchange with timely information to enable it efficiently perform its function of maintaining an orderly market. In accordance with the provisions of Appendix III: General Undertaking (Equities), Rulebook of The Exchange, 2015 (Issuers' Rules) and The Exchange's Circular No. NSE/LARD/LRD/CIR3/17/05/12 on Publication of Announcements or Press Releases via The Issuers' Portal, listed companies are required to obtain prior written approval from The Exchange before publications that affect shareholders' interest are made in the media or via the Issuers' Portal.

In addition, companies are also required to disclose material information to The Exchange and publish the information in their Annual Reports.

The companies listed in Schedule 5 breached certain provisions of the Listings Rules and were sanctioned accordingly.

NAME OF COMPANIES	NATURE OF BREACH	SANCTIONS (₦)
2019		
Access Bank Plc	Non-disclosure of resolutions passed at Board Meeting	4,410,000.00
Diamond Bank Plc	Non-disclosure of resolutions passed at Board Meeting	3,234,000.00
First Aluminium Nigeria Plc	Non-dispatch of the Notice of AGM and Annual Reports to shareholders twenty-one (21) days before the date of the meeting	476,280.00
2018		
Prestige Assurance Plc	Unauthorized Publication – Notice of AGM	496,125.00
First Aluminium Nigeria Plc	Unauthorized Publication – Notice of AGM	476,280.00
Diamond Bank Plc	Unauthorized Publication – Notice of Resignation of Four Directors	3,087,000.00

DEFAULT FILINGS OF FINANCIAL STATEMENTS

The information published in Schedule 6 are the names of companies that filed their Audited and Interim Financial Statements after the regulatory due date. The Exchange applied sanctions in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules).

2018 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
1	Vitafoam Nigeria Plc	Audited 2017	September	8-Jan-18	800,000.00
2	Academy Press Plc	Audited 2017	March	17-Jan-18	35,000,000.00
3	FCMB Group Plc	Audited 2017	December	4-Apr-18	100,000.00



2018 DEFAULT FILINGS

DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS

S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
4	International Breweries Plc	Audited 2017	December	4-Apr-18	100,000.00
5	Abbey Mortgage Bank Plc	Audited 2017	December	11-Apr-18	700,000.00
6	Wema Bank Plc	Audited 2017	December	11-Apr-18	800,000.00
7	Presco Plc	Audited 2017	December	13-Apr-18	1,000,000.00
8	Sterling Bank Plc	Audited 2017	December	16-Apr-18	1,300,000.00
9	FBN Holdings Plc	Audited 2017	December	24-Apr-18	2,100,000.00
10	Meyer Plc	Audited 2017	December	24-Apr-18	2,100,000.00
11	Sovereign Trust Insurance Plc	Audited 2017	December	24-Apr-18	2,100,000.00
12	Equity Assurance Plc	Audited 2017	December	27-Apr-18	2,400,000.00
13	A.G. Leventis Nigeria Plc	Audited 2017	December	30-Apr-18	2,700,000.00
14	Fidelity Bank Plc	Audited 2017	December	30-Apr-18	2,700,000.00
15	Presco Plc	1 st Quarter 2018	December	3-May-18	300,000.00
16	Linkage Assurance Plc	Audited 2017	December	8-May-18	3,500,000.00
17	Union Bank Plc	Audited 2017	December	10-May-18	3,700,000.00
18	Union Bank Plc	1 st Quarter 2018	December	10-May-18	1,000,000.00
19	Diamond Bank Plc	Audited 2017	December	11-May-18	3,800,000.00
20	Diamond Bank Plc	1 st Quarter 2018	December	11-May-18	1,100,000.00
21	Mutual Benefit Assurance Plc	Audited 2017	December	11-May-18	3,800,000.00
22	Mutual Benefit Assurance Plc	1 st Quarter 2018	December	16-May-18	1,600,000.00
23	Conoil Plc	Audited 2017	December	1-Jun-18	5,900,000.00
24	Great Nigeria Insurance Plc	Audited 2017	December	6-Jun-18	6,600,000.00
25	Great Nigeria Insurance Plc	1 st Quarter 2018	December	6-Jun-18	3,700,000.00
26	Niger Insurance Plc	Audited 2017	December	27-Jun-18	8,500,000.00
27	Niger Insurance Plc	1 st Quarter 2018	December	27-Jun-18	5,800,000.00
28	Royal Exchange Plc	Audited 2017	December	18-Jul-18	1,800,000.00
29	Royal Exchange Plc	1 st Quarter 2018	December	18-Jul-18	7,900,000.00
30	Universal Insurance Plc	Audited 2017	December	18-Jul-18	10,600,000.00
31	Universal Insurance Plc	1 st Quarter 2018	December	26-Jul-18	8,700,000.00
32	Universal Insurance Plc	Half Year 2018	December	1-Aug-18	200,000.00
33	Veritas Kapital Assurance Plc	Audited 2017	December	6-Aug-18	16,100,000.00
34	Conoil Plc	1 st Quarter 2018	December	6-Aug-18	10,600,000.00
35	Conoil Plc	Half Year 2018	December	7-Aug-18	800,000.00
36	Veritas Kapital Assurance Plc	1 st Quarter 2018	December	7-Aug-18	10,400,000.00
37	Veritas Kapital Assurance Plc	Half Year 2018	December	7-Aug-18	800,000.00



2018 DEFAULT FILINGS

DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS

S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
38	Cornerstone Insurance Plc	Audited 2017	December	8-Aug-18	16,500,000.00
39	Cornerstone Insurance Plc	1 st Quarter 2018	December	8-Aug-18	10,800,000.00
40	Standard Alliance Insurance Plc	Audited 2017	December	24-Aug-18	13,900,000.00
41	Standard Alliance Insurance Plc	1 st Quarter 2018	December	27-Aug-18	14,800,000.00
42	PZ Cussons Nigeria Plc	Audited 2018	May	30-Aug-18	200,000.00
43	Fidelity Bank Plc	Audited Half Year 2018	June	26-Sep-18	2,800,000.00
44	Union Dicon Salt Plc	1 st Quarter 2018	March	10-Oct-18	23,600,000.00
45	Union Dicon Salt Plc	Half Year 2018	June	10-Oct-18	7,200,000.00
46	Thomas Wyatt Nigeria Plc	Audited 2018	March	10-Oct-18	1,000,000.00
47	Unity Bank Plc	Audited 2017	December	1-Nov-18	40,700,000.00
		1 st Quarter 2018	March	1-Nov-18	29,000,000.00
		Half Year 2018	June	1-Nov-18	9,800,000.00
		Third Quarter 2018	September	1-Nov-18	200,000.00
48	FTN Cocoa Processors Plc	Audited 2017	December	1-Nov-18	39,900,000.00
		1 st Quarter 2018	March	1-Nov-18	29,000,000.00
		Half Year 2018	June	1-Nov-18	9,800,000.00
49	Medview Airline Plc	Third Quarter 2018	September	6-Nov-18	700,000.00
50	NPF Microfinance Bank Plc	Third Quarter 2018	September	10-Dec-18	4,000,000.00
51	Cement Company of Northern Nigeria Plc	Third Quarter 2018	September	17-Dec-18	4,500,000.00
52	Greif Nigeria Plc	Audited 2018	October	6-Feb-19	800,000.00
53	Union Bank Nigeria Plc	Audited 2018	December	2-April-19	200,000.00
54	Afromedia Plc	Audited 2018	September	3-April-19	400,000.00

SCHEDULE 7: FREE FLOAT DEFICIENCIES

Companies listed on The Exchange are required to maintain a minimum free float for the set standards under which they are listed in order to ensure that there is an orderly and liquid market for their securities. The free float requirement for companies on the ASEM Board is a minimum of 15% of issued and fully paid up shares while that of the Main Board is a minimum of 20% of the issued and fully paid up shares. Companies listed on the Premium Board are also required to have a free float of a minimum of 20% of issued and fully paid up shares or the value of its free float is equal to or above ₦40 billion on the date The Exchange receives the Issuer's application to list.



The following companies listed in Schedule 7 have free float deficiencies and have applied for waivers from the Regulation Committee of the National Council of The Exchange (RegCom), and have specifically provided compliance plans with tentative timelines to support their requests.

The RegCom considered and approved an extended timeframe for the companies to regain compliance with the listings requirement. The companies are however required to also provide quarterly disclosure reports to The Exchange detailing their level of implementation of the compliance plans.

The names of the companies in this category are as follows:

COMPANIES WITH FREE FLOAT DEFICIENCIES

ISSUER	% OF FREE FLOAT	CSI	COMPLIANCE DUE DATE
A.G. Leventis Plc	11.64	BMF	19 October 2020
Capital Hotel Plc	2.99	BLS	31 October 2017 ¹
Caverton Offshore Support Group Plc.	17.30	BLS	31 October 2017 ¹
Champion Breweries Plc	17.17	BLS	31 March 2017 ²
Ekocorp Plc	11.84	BLS	31 October 2017 ²
e-Tranzact International Plc	10.06	BLS	17 May 2019
Infinity Trust Mortgage Plc	3.50	BLS	17 May 2021
The Tourist Company of Nigeria Plc	1.75	DIP	N/A
Transcorp Hotels Plc	6.00	BLS	18 May 2020
Union Bank of Nigeria Plc	14.94	BLS	18 May 2020
Portland Paints & Products Nigeria Plc	14.57	BLS	N/A ¹
Global Spectrum Energy Services Plc	7.01	BLS	N/A ¹
CWG Plc	15.97	BLS	N/A ¹
Aluminium Extrusion Plc	17.73	BLS	N/A ²
Union Dicon Salt Plc	18.00	BRS	N/A ²
Austin Laz & Company Plc	5.51	BLS	N/A ²
Notore Chemical Industries Plc	10.02	BLS	N/A ²
Medview Airline Plc	14.16	BLS	N/A ²
Skyway Aviation Handling Company Plc	19.39	BLS	October 2019

SCHEDULE 8: COMPANIES RESTRUCTURING AND/OR SLATED FOR DELISTING

The companies listed in Schedule 8 are either undergoing the Delisting Process (Regulatory/Voluntary) or Restructuring:

DELISTING IN PROCESS

¹ These companies have requested for additional extension which would be presented to the Council for approval.

² The Exchange is currently engaging these companies



1.	Deap Capital Management Plc	DIP	RegCom has approved the Company to be placed on delisting watch-list subject to filing Quarterly Compliance Report
2.	Evans Medical Plc	DIP	RegCom has approved the Company to be placed on delisting watch-list subject to filing Quarterly Compliance Report
3.	The Tourist Company of Nigeria Plc	DIP	RegCom has approved the Company to be placed on regulatory watch-list.
4.	DN Tyre & Rubber Plc	DIP	RegCom has approved the Company to be placed on delisting watch-list subject to filing Quarterly Compliance Report
5.	First Aluminium Nigeria Plc	DIP	The Company's application for a voluntary delisting has been approved by Management.

RESTRUCTURING

1.	Anino International Plc	MRS	The Company has obtained NSE's approval to restructure.
2.	Aso Savings & Loans Plc	RST	RegCom has approved the Company to be placed on restructuring subject to filing Quarterly Compliance Report
3.	FTN Cocoa Processors Plc	RST	The Company has obtained NSE's approval to restructure.
4.	Golden Guinea Breweries Plc	RST	The Company has obtained NSE's approval to restructure.
5.	Goldlink Insurance Plc	RST	The Company has obtained NSE's approval to restructure.
6.	International Energy Insurance Company Plc	MRS	RegCom has approved the Company to be placed on restructuring subject to filing Quarterly Compliance Report
7.	Nigerian German Chemical Plc	MRS	The Company has obtained NSE's approval to restructure.
8.	Thomas Wyatt Nigeria Plc	RST	The Company has obtained NSE's approval to restructure.



RESTRUCTURING			
9.	Union Dicon Salt Plc	BRS	The Company is at its final stage of its restructuring process
10.	Union Homes Savings & Loans Plc	RST	RegCom has approved the Company to be placed on restructuring subject to filing Quarterly Compliance Report

Schedule 9: Regulatory Suspensions

The companies listed in Schedule 9 were suspended pursuant to the provisions of Rule 3.1, Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules), which provides that: *"If an Issuer fails to file the relevant accounts by the expiration of the Cure Period, The Exchange will:*

- a) *send to the Issuer a "Second Filing Deficiency Notification" within two (2) business days after the end of the Cure Period;*
- b) *suspend trading in the Issuer's securities; and*
- c) *notify the Securities and Exchange Commission (SEC) and the Market within twenty-four (24) hours of the suspension."*

Suspensions - 2018				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Fortis Microfinance Bank Plc	December	1-Nov-18	Failure to file the relevant accounts by the expiration of the Cure Period
2.	Deap Capital Management & Trust Plc	December	1-Nov-18	Failure to file the relevant accounts by the expiration of the Cure Period
3.	Multi-Trex Integrated Foods Plc	April	1-Nov-18	Failure to file the relevant accounts by the expiration of the Cure Period
4.	International Energy Insurance Plc	December	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
5.	Unic Diversified Holdings Plc	December	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
6.	DN Tyre & Rubber Plc	September	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period



Suspensions - 2018

S/N	Name of Issuer	Year End	Date of Suspension	Reasons
7.	Skye Bank Plc	December	24-Sep-18	Skye Bank Plc (the Bank) was suspended following the regulatory action of the Central Bank of Nigeria to revoke the banking license of the Bank.
8.	STACO Insurance Plc	December	5-Jul-18	Failure to file the relevant accounts by the expiration of the Cure Period
9.	Nigerian German Chemical Plc	March	4-Oct-17	Failure to file the relevant accounts by the expiration of the Cure Period
10.	Roads Nigeria Plc	March	4-Oct-17	Failure to file the relevant accounts by the expiration of the Cure Period
11.	Aso Savings & Loans Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period
12.	Evans Medical Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period
13.	Omatek Ventures Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period
14.	Union Homes Savings & Loans Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period



PART C: LISTINGS REPORT



SCHEDULE 10: LISTINGS REPORT (Applications, Listings, Delistings and Capital Restructuring)

Applications Approved in 2019					
ISSUER/APPLICANTS	TYPE OF APPLICATION	STOCK BROKER(S)	ISSUING HOUSE(S)/FINANCIAL ADVISER(S)	DATE APPROVED	NARRATION
MTN Nigeria Communications Plc	Listing Introduction	by Stanbic IBTC Stockbrokers Limited	Stanbic IBTC Capital Limited and Chapel Hill Denham Advisory Limited	14 May 2019	Listing by Introduction of MTN Nigeria Communications Plc's 20,354,513,050 ordinary shares of ₦0.02 each at ₦90.00 per share on the Premium Board of The Exchange
First Aluminium Plc	Voluntary Delisting	Apel Asset Limited	N/A	9 May 2019	Application for Delisting of the entire shares of First Aluminium Nigeria Plc from the Daily Official List of The Nigerian Stock Exchange
Access Bank Plc	Merger and Acquisition	Coronation Securities Limited; and FSCL Asset Management Limited	Chapel Hill Denham Advisory Limited; and Union Capital Markets Limited	29 March 2019	Application for approval to list 6,617,253,991 ordinary shares of 50 kobo each resulting from a scheme of merger between Access Bank Plc and Diamond Bank Plc
Access Bank Plc	Corporate Bond	Coronation Securities Limited	Chapel Hill Denham Advisory Limited; and Coronation Merchant Bank Limited	28 March 2019	Application for the Listing of ₦15,000,000,000, 5-Years 15.5% Fixed Rate Senior Unsecured Green Bonds Due 2024
Greenwich Asset Management Limited	ETF	CSL Stockbrokers Limited	Greenwich Trust Limited	19 March 2019	Listing of 10,000,000 Units of the Greenwich Alpha ETF Fund of N100 Each at



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Applications Approved in 2019

ISSUER/APPLICANTS	TYPE OF APPLICATION	STOCK BROKER(S)	ISSUING HOUSE(S)/FINANCIAL ADVISER(S)	DATE APPROVED	NARRATION
					Par via a Public Offering
NSP-SPV Powercorp Plc	Corporate Bond	Vetiva Securities Limited	United Capital Plc; Stanbic IBTC Capital Limited; Vetiva Capital Management Limited; and Zenith Capital Limited	13 March 2019	Listing of ₦8,500,000,000 Series 1: 15 Years - 15.6% Guaranteed Fixed Rate Senior Green Infrastructure Bonds Due 2034 Under a N50,000,000,000 Bond Issuance Program
Ellah Lakes Plc	Acquisition	MBC Securities Limited	MBC Capital Limited	8 March 2019	Listing of 1,880,000,000 ordinary shares of 50 kobo each to be issued to the shareholders of Telluria Limited as a consideration to acquire shares in Telluria Limited
Newrest ASL Nigeria Plc	Voluntary Delisting	Helix Securities Limited	Elixir Capital Partners Limited	20 February 2019	Voluntary Delisting of the entire 634,000,000 ordinary shares of Newrest ASL Nigeria Plc from the Daily Official List of The Nigerian Stock Exchange
Cordros Asset Management Limited	Memorandum Listing	Cordros Securities Limited; Pilot Securities Limited; Apel Assets & Trust Limited and Vetiva	Cordros Capital Limited	20 February 2019	Memorandum Listing of 4,454,079 units of the Cordros Milestone Fund 2023 at ₦100.00 each



Applications Approved in 2019

ISSUER/APPLICANTS	TYPE OF APPLICATION	STOCK BROKER(S)	ISSUING HOUSE(S)/FINANCIAL ADVISER(S)	DATE APPROVED	NARRATION
		Securities Limited			
Cordros Asset Management Limited	Memorandum Listing	Cordros Securities Limited; Pilot Securities Limited; Apel Assets & Trust Limited and Vetiva Securities Limited	Cordros Capital Limited	20 February 2019	Memorandum Listing of 2,741,844 units of the Cordros Milestone Fund 2028 at ₦100.00 each
First City Asset Management Limited	Memorandum Listing	CSL Stockbrokers Limited	FCMB Capital Markets Limited	20 February 2019	Memorandum Listing of 594,963,112 units at ₦3.29 of the Legacy Debt Fund
Fidson Healthcare Plc	Rights Issue	Meristem Stockbrokers Limited; CardinalStone Securities Limited; and Imperial Asset Managers Limited	CardinalStone Partners Limited; Financial Derivatives Company Limited; and FSDH Merchant Bank Limited	4 February 2019	Rights Issue of 750,000,000 ordinary shares of ₦0.50 each at ₦4.00 per share on the basis of 1 new ordinary shares for every 2 ordinary shares held as at 28 December 2018 ³
Consolidated Insurance Plc	Placing	Planet Capital Limited	Planet Capital Limited	29 January 2019	Private Placement of 1,130,000,000 ordinary shares of ₦0.50 each to Niger Delta Exploration & Production Plc at ₦0.65 per share
Sovereign Trust Insurance Plc	Rights Issue	Dynamic Portfolio Limited	Cordros Capital Limited	23 January 2019	Rights Issue of 4,170,411,648 ordinary shares of ₦0.50 each at ₦0.50

³ This is a revision of the former application for the approval of the rights issue of 900,000,000 ordinary shares of ₦0.50 each at ₦5.00 per share on the basis of 3 new ordinary shares for every 5 ordinary shares held as at 5 July 2018 approved by The Exchange on 11 July 2018.



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Applications Approved in 2019

ISSUER/APPLICANTS	TYPE OF APPLICATION	STOCK BROKER(S)	ISSUING HOUSE(S)/FINANCIAL ADVISER(S)	DATE APPROVED	NARRATION
					per share on the basis of 1 new ordinary share for every 2 ordinary shares held as at 15 January 2019

New Listings in 2019

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
Federal Government of Nigeria	22 May 2019	37,430,001	1,000	37,430,001,000	New: Listing of 37,430,001 units of the 14.55% Federal Government of Nigeria APR 2029 bond on The Exchange (14.55% FGN APR 2029)
Federal Government of Nigeria	22 May 2019	53,160,000	1,000	53,160,000,000	New: Listing of 53,160,000 units of the 14.80% Federal Government of Nigeria APR 2049 bond on The Exchange (14.80% FGN APR 2049)
Federal Government of Nigeria	22 May 2019	6,814,001	1,000	6,814,001,000	Supplementary: Listing of 6,814,001 units of the 12.75% Federal Government of Nigeria April 2023 Bond (12.75% FGN Apr 2023)
MTN Nigeria Communications Plc	16 May 2019	20,354,513,050	90	1,831,906,174,500	New: Listing by Introduction of MTN Nigeria Communications Plc's 20,354,513,050 ordinary shares of ₦0.02 each at ₦90.00 per share on the Premium Board of The Exchange
NSP-SPV Powercorp Plc	8 May 2019	8,500,000	1,000	8,500,000,000	New: Listing of the NSP-SPV Powercorp Plc's ₦8,500,000,000 Series 1: 15 Years - 15.6% Guaranteed Fixed Rate Senior Green Infrastructure Bonds Due



New Listings in 2019

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
					2034 Under a ₦50,000,000,000 Bond Issuance Program
Vetiva Banking ETF	3 May 2019	100,000	N/A	N/A	Supplementary: Listing of additional 100,000 units of the Vetiva Banking ETF
Mutual Benefits Assurance Plc	3 May 2019	3,172,733,508	0.50	1,586,366,754	Supplementary: Listing of Mutual Benefits Assurance Plc's Rights Issue of 3,172,733,508 ordinary shares of 50 kobo each at ₦0.50 per share on the basis of 2 new ordinary shares for every 4 ordinary shares held as at 1 November 2017
Vetiva Griffin 30 ETF (VETGRIFF30)	2 May 2019	200,000	N/A	N/A	Supplementary: Listing of additional 200,000 units of the Vetiva Griffin 30 ETF
Federal Government of Nigeria	2 May 2019	627,796	1,000	627,796,000	New: Listing of 627,796 units of the 12.276% April 2022 Federal Government Savings Bond on The Exchange. {(12.276% FGS APR 2022) – Series 51}
Federal Government of Nigeria	2 May 2019	126,317	1,000	126,317,000	New: Listing of 126,317 units of the 11.276% April 2021 Federal Government Savings Bond on The Exchange. {(11.276% FGS APR 2021) – Series 50}
Skyway Aviation Handling Company Plc	23 April 2019	1,353,580,000	4.65	6,294,147,000	New: Listing of 1,353,580,000 ordinary shares of 50 kobo each and Initial Public Offering by Way of Offer for Sale of 406,074,000 ordinary shares of 50kobo each in Skyway Aviation Handling Company Plc at N4.65 per Share
Federal Government of Nigeria	4 April 2019	20,000,000	1,000	20,000,000,000	Supplementary: Listing of 20,000,000 units of the 13.98% Federal Government of Nigeria February 2028 Bond (13.98% FGN Feb 2028)



New Listings in 2019

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
Federal Government of Nigeria	4 April 2019	62,150,001	1,000	62,150,001,000	Supplementary: Listing of 62,150,001 units of the 13.53% Federal Government of Nigeria March 2025 Bond (13.53% FGN Mar 2025)
Federal Government of Nigeria	4 April 2019	39,800,000	1,000	39,800,000,000	Supplementary: Listing of 39,800,000 units of the 12.75% Federal Government of Nigeria April 2023 Bond (12.75% FGN Apr 2023)
Access Bank Plc	1 April 2019	6,617,253,991	6.50	43,012,150,941.50	Listing of 6,617,253,991 ordinary shares of 50 Kobo each resulting from a Scheme of Merger between Access Bank Plc and Diamond Bank Plc
Access Bank Plc	1 April 2019	15,000,000	1,000	15,000,000,000	Listing of Access Bank Plc's ₦15,000,000,000 5-Year 15.5% Fixed Rate Senior Unsecured Green Bonds Due 2024
Federal Government of Nigeria	29 March 2019	178,308	1,000	178,308,000	New: Listing of 178,308 units of the 12.62% March 2022 Federal Government Savings Bond on The Exchange. {{12.62% FGS MAR 2022) – Series 49}}
Federal Government of Nigeria	29 March 2019	62,581	1,000	62,581,000	New: Listing of 62,581 units of the 11.62% March 2021 Federal Government Savings Bond on The Exchange. {{11.62% FGS MAR 2021) – Series 48}}
Lafarge Africa Plc	26 March 2019	7,434,367,256	12	89,212,407,072	Supplementary: Listing of Lafarge Africa Plc's Rights Issue of 7,434,367,256 ordinary shares of 50 kobo each at ₦12 per share on the basis of 6 new ordinary share for every 7 ordinary share held as at 4 December 2018
Federal Government of Nigeria	15 March 2019	136,250,000	1,000	136,250,000,000	Supplementary: Listing of 136,250,000 units of the 13.98% Federal Government of Nigeria February 2028 Bond (13.98% FGN Feb 2028)



New Listings in 2019

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
Federal Government of Nigeria	15 March 2019	12,250,000	1,000	12,250,000,000	Supplementary: Listing of 12,250,000 units of the 13.53% Federal Government of Nigeria March 2025 Bond (13.53% FGN Mar 2025)
Federal Government of Nigeria	15 March 2019	1,500,000	1,000	1,500,000,000	Supplementary: Listing of 1,500,000 units of the 12.75% Federal Government of Nigeria April 2023 Bond (12.75% FGN Apr 2023)
Federal Government of Nigeria	15 March 2019	91,040,952	1,000	91,040,952,000	Supplementary: Listing of 91,040,952 units of the 13.98% Federal Government of Nigeria February 2028 Bond (13.98% FGN Feb 2028)
Federal Government of Nigeria	15 March 2019	20,096,002	1,000	20,096,002,000	Supplementary: Listing of 20,096,002 units of the 13.53% Federal Government of Nigeria March 2025 Bond (13.53% FGN Mar 2025)
Federal Government of Nigeria	15 March 2019	5,845,001	1,000	5,845,001,000	Supplementary: Listing of 5,845,001 units of the 12.75% Federal Government of Nigeria April 2023 Bond (12.75% FGN Apr 2023)
Federal Government of Nigeria	26 February 2019	223,650	1,000	223,650,000	New: Listing of 223,650 units of 13.05% February 2022 Federal Government Savings Bond on The Exchange. {(13.05% FGS FEB 2022) – Series 47}
Federal Government of Nigeria	26 February 2019	122,245	1,000	122,245,000	New: Listing of 122,245 units of 12.05% February 2021 Federal Government Savings Bond on The Exchange. {(12.05% FGS FEB 2021) – Series 46}
Cordros Asset Management Limited	22 February 2019	4,454,079	100	445,407,900	Memorandum listing of 4,454,079 units of the Cordros Milestone Fund 2023 at ₦100.00 each
Cordros Asset Management Limited	22 February 2019	2,741,844	100	274,184,400	Memorandum Listing of 2,741,844 units of the Cordros



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New Listings in 2019

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
					Milestone Fund 2028 at ₦100.00 each
First City Asset Management Limited	22 February 2019	594,963,112	1	594,963,112	Memorandum Listing of 594,963,112 units at ₦3.29 of the Legacy Debt Fund
First City Asset Management Limited	22 February 2019	1,182,615,000	1	1,182,615,000	Memorandum Listing of 1,182,615,000 units of Legacy Money Market Fund at ₦1.00 each
Consolidated Hallmark Insurance Plc	5 February 2019	1,130,000,000	0.65	734,500,000	Private placement of 1,130,000,000 ordinary shares of ₦0.50 each to Niger Delta Exploration & Production Plc at ₦0.65 per share
Federal Government of Nigeria	25 January 2019	414,519	1,000	414,519,000	New: Listing of 414,519 units of 13.125% January 2022 Federal Government Savings Bond on The Exchange. {{13.125% FGS JAN 2022) – Series 45}}
Federal Government of Nigeria	25 January 2019	21,731	1,000	21,731,000	New: Listing of 21,731 units of 12.125% January 2021 Federal Government Savings Bond on The Exchange. {{12.125% FGS JAN 2021) – Series 44}}
May & Baker Nigeria Plc	24 January 2019	745,234,886	2.39	1,781,111,378	Supplementary: Rights Issue of 980,000,000 ordinary shares of 50 kobo each at ₦2.50 per share on the basis of 1 new ordinary share for every 1 ordinary share held as at 4 September 2018. (The issue was 76.04% successful).
Vetiva Griffin 30 ETF	21 January 2019	150,000	N/A	N/A	Supplementary: Listing of additional units of the Vetiva Griffin 30 ETF
Mixta Real Estate Plc	16 January 2019	2,961,000	1,000	2,961,000,000	New: Listing of ₦2,961,000,000 16.50% Series 2 Tranche A Bond Due 2023 under the ₦30,000,000,000 Medium Term Note Program



New Listings in 2019

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
Mixta Real Estate Plc	16 January 2019	2,320,000	1,000	2,320,000,000	New: Listing of ₦2,320,000,000 17.75% Series 2 Tranche B Bond Due 2023 under the ₦30,000,000,000 Medium Term Note Program
Sterling Investment Management SPV Plc	14 January 2019	32,899,000	1,000	32,899,000,000	New: Listing of ₦32,899,000,000 Series 2, 7-year 16.25% Fixed Rate Unsecured Bonds due 2025 under the ₦65,000,000,000 Debt Issuance Program
Vetiva Griffin 30 ETF	11 January 2019	150,000	N/A	N/A	Supplementary: Listing of additional units of the Vetiva Griffin 30 ETF
Nigeria Mortgage Refinance Company Plc	9 January 2019	11,000,000	1,000	11,000,000,000	New: 11,000,000 units 13.80% Series 2 Bond under the ₦440,000,000,000 Medium Term Note Program
Federal Government of Nigeria	9 January 2019	30,020	1,000	30,020,000	New: Listing of 30,020 units of 12.390% November 2020 Federal Government Savings Bond on The Exchange. (12.390% FGS NOV 2020)
Federal Government of Nigeria	9 January 2019	341,328	1,000	341,328,000	New: Listing of 341,328 units of 13.390% November 2021 Federal Government Savings Bond on The Exchange. (13.390% FGS NOV 2021)
Federal Government of Nigeria	9 January 2019	63,959	1,000	63,959,000	New: Listing of 12.402% December 2020 Federal Government Savings Bond on The Exchange. (12.402% FGS DEC 2020)
Federal Government of Nigeria	9 January 2019	300,007	1,000	300,007,000	New: Listing of 13.402% December 2021 Federal Government Savings Bond on The Exchange. (13.402% FGS DEC 2021)



New Listings in 2019

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION

Delisting in 2019

ISSUER/SECURITY	DATE DELISTED	SECTOR	QUANTITY DELISTED	PRICE (₦)	VALUE/MARC AP (₦)	DESCRIPTION
13.189% FGS MAY 2019	24 May 2019	Federal Government Bond	307,647	1,000	307,647,000	Maturity of the 13.189% FGS MAY 2019 bond
Newrest ASL Nigeria Plc	13 May 2019	Services	634,000,000	6.85	4,342,900,000	Delisting of the entire 634,000,000 ordinary shares of Newrest ASL Nigeria Plc from the Daily Official List of The Nigerian Stock Exchange
Federal Government of Nigeria	15 April 2019	Federal Government Bond	419,327	1,000	419,327,000	Maturity of the 12.794% FGS APR 2019 bond



Delisting in 2019

Delisting in 2019						
Dana Group Plc	1 April 2019	Corporate Bond	4,500,000	1,000	4,500,000,000	Maturity of the 16.00% DNB APR 2019 bond
Diamond Bank Plc	1 April 2019	Financial Services - Banking	23,160,388,968	2.42	56,048,141,302.56	Delisting of the entire ordinary share capital of Diamond Bank Plc as a result of its merger with Access Bank Plc
Federal Government of Nigeria	22 March 2019	Federal Government Bond	2,067,961	1,000	2,067,961,000	Maturity of the 13.01% FGS MAR 2019 bond
Chellarams Plc	17 February 2019	Corporate Bond	540,000	1,000	540,000,000	Maturity of the Chellarams Plc Bond (MPR+5% FEB 2019)
Great Nigeria Insurance Plc	25 January 2019	Financial Services	3,827,485,380	0.50	1,913,742,690	Delisting of the entire 3,827,485,380 ordinary shares of Great Nigeria Insurance Plc. from the Daily Official List of The Exchange

Capital Reconstruction Transactions in 2019

ISSUER/SECURITY	EFFECTIVE DATE	SECTOR	UNIT OF SECURITIES	DESCRIPTION
C&I Leasing Plc	14 January 2019	Services	404,252,500	Consolidation of four (4) existing ordinary shares into one (1) new ordinary share in C&I Leasing Plc previously held by existing shareholder which resulted in the issuance of 404,252,500 new ordinary shares



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SCHEDULE 11: GENERAL MEETINGS OF LISTED COMPANIES

Companies listed on The Exchange are required to notify The Exchange of the details of their General Meetings (Annual, Extra-Ordinary or Court Ordered). Schedule 11 below gives information on the General Meetings holding during the period stated.



General Meetings for May 2019

S/N	Company Name	Venue	Date	Time	Meeting Type
1.	Capital Hotel Plc	Conference Centre, Ladi Kwali Hall Sheraton Abuja Hotel, Abuja	2-May-19	12:00 Noon	AGM
2.	FBN Holdings Plc	Grand Ball Room, Oriental Hotel, 3 Lekki – Epe Expressway, Victoria Island, Lagos	3-May-19	10:00 a.m.	AGM
3.	Sunu Assurances Nigeria Plc	BWC Hotel, 1228 Ahmadu Bello Way, Victoria Island, Lagos	7-May-19	11:00 a.m.	AGM
4.	Union Bank Plc	H.A.B. Fasinro Hall, Lagos City Hall, Catholic Mission Street, Lagos	7-May-19	12:00 Noon	AGM
5.	AXA Mansard Insurance Plc	Lagos Oriental Hotel, Victoria Island, Lagos	8-May-19	10:00 a.m.	AGM
6.	Wema Bank Plc	Lagoon Restaurant, 1C Ozumba Mbadiwe, Victoria Island, Lagos	8-May-19	11:00 a.m.	AGM
7.	Unilever Nigeria Plc	Grand Banquet Hall, Civic Centre, Victoria Island, Lagos, Nigeria	9-May-19	10:00 a.m.	AGM
8.	Champion Breweries Plc	Lagoon Restaurants, 1C Ozumba Mbadiwe Street, Victoria Island, Lagos	9-May-19	12:00 Noon	AGM
9.	11 Plc	Shell Hall, MUSON Center, Onikan Lagos	16-May-19	11.00 a.m.	AGM
10.	Infinity Trust Mortgage Bank Plc	4th Floor, Infinity House, 11 Kaura Namoda Street, Area 3, Abuja	16-May-19	10:00 a.m.	AGM
11.	Seplat Petroleum Development Company Plc	The Civic Center, Ozumba Mbadiwe, Victoria Island, Lagos	16-May-19	11.00 a.m.	AGM
12.	Nigerian Breweries Plc	MUSON Centre, Onikan, Lagos	17-May-19	10.00 a.m.	AGM
13.	AIICO Insurance Plc	Orchids Hotels, 3 Dreamworld, Africana Way, Lekki, Lagos	20-May-19	10.00 a.m.	AGM
14.	Caverton Offshore Support Group Plc	Civic Centre, Victoria Island, Lagos	21-May-19	10:00 a.m.	AGM
15.	Consolidated Hallmark Insurance Plc	Agip Recital Hall, Muson Centre, 8/9 Marina, Lagos	21-May-19	11:00 a.m.	AGM



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16.	NCR (Nigeria) Plc	MUSON Center, Onikan Lagos	22-May-19	11:00 a.m.	AGM
17.	Pharma Deko Plc	Darlington Hall, Plot CDE Industrial Crescent, Off Town Planning, Lagos State	23-May-19	12:00 p.m.	AGM
18.	GlaxoSmithKline Consumer Nigeria Plc	MUSON Centre, 8/9 Onikan, Lagos	23-May-19	Yet to disclose	AGM
19.	Berger Paints Plc	Sheraton Lagos Hotel, 30 Mobolaji Bank Anthony Way, Maryland, Ikeja, Lagos State	23-May-19	11:00 Noon	AGM
20.	Courteville Business Solutions Plc	38, Commercial Avenue, Sabo, Yaba, Lagos	28-May-19	11.00 a.m.	AGM
21.	Nestle Nigeria Plc	MUSON Centre, Onikan, Lagos	28-May-19	11.00 a.m.	AGM
22.	Portland Paints and Products Plc	Golden Tulip, Amuwo Odofin, Lagos	30-May-19	10.00 a.m.	AGM
23.	May & Baker Plc	Agip Hall, MUSON Centre, Onikan, Lagos.	30-May-19	11:00 a.m.	AGM
24.	Livestock Feeds Plc	Arthur Mbanefo Hall, Golden Tulip Festac, Amuwo-odofin, Lagos State	31-May-19	10:00 a.m.	AGM



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General Meetings for June 2019					
S/N	Company Name	Venue	Date	Time	Meeting Type
1.	Wapic Insurance Plc	Federal Palace Hotel, VI Lagos	10-Jun-19	10:00 a.m.	AGM
2.	Oando Plc	Zinnia Hall, Eko Hotels and Suites, Plot 1415, Adetokunbo Ademola Street, Victoria Island, Lagos	11-Jun-19	10:00 a.m.	AGM
3.	Union Bank of Nigeria Plc	The Grand Ballroom, Civic Centre, Ozumba Mbadiwe Street, Victoria Island Shell Hall, Lagos	11-Jun-19	10:00 a.m.	EGM
4.	NASCON Allied Industries Plc	Civic Centre, Victoria Island, Lagos	13-Jun-19	Yet to disclose	AGM
5.	Okomu Oil Palm Plc	Transcorp Hilton Hotel, Abuja	13-Jun-19	10:00 a.m.	AGM
6.	Eterna Plc	Agip Recital Hall, Muson Centre, Onikan, Lagos	13-Jun-19	11.00 a.m.	AGM
7.	Dangote Cement Plc	Eko Hotel & Suites, 1415 Adetokunbo Ademola Street, Victoria Island, Lagos	17-Jun-19	11:00 a.m.	AGM
8.	Dangote Sugar Refinery Plc	Orchid Hall, Eko Hotel and Suites, Plot 1415, Adetokunbo Ademola Street, Victoria Island, Lagos	18-Jun-19	10:00 a.m.	AGM
9.	Greif Nigeria Plc	1, Alapata Road, Apapa, Lagos	18-Jun-19	11:00 a.m.	AGM
10.	Regency Alliance Insurance Plc	Ballroom, Lagos Oriental Hotel, Lekki Road, Victoria Island, Lagos	18-Jun-19	11:00 a.m.	AGM
11.	Stanbic IBTC Holdings Plc	Eko Hotel, 1415 Adetokunbo Ademola Street, Victoria Island, Lagos	19-Jun-19	10:00 a.m.	AGM
12.	Linkage Assurance Plc	Shell Hall, Muson Centre, Onikan, Lagos	19-Jun-19	10:00am	AGM
13.	UACN Property Development Company Plc	Arthur Mbanefo Hall, Golden Tulip Festac Hotel, Lagos State	19-Jun-19	10:00 a.m.	AGM
14.	Julius Berger Nigeria Plc	Shehu Musa Yar'Adua Center, No. 1, Memorial Drive, Abuja, Federal Capital Territory	20-Jun-19	11:00 a.m.	AGM



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15.	CAP Plc	Arthur Mbanefo Hall, Golden Tulip Festac, Amuwo-odofin, Lagos State	20-Jun-19	10:00 a.m.	AGM
16.	B.O.C Gases Nigeria Plc	Ikeja Airport Hotel, Lagos	20-Jun-19	11:00 a.m.	AGM
17.	Meyer Plc	Plot 34, Mobolaji Johnson Avenue, Oregon Industrial Estate, Alausa, Ikeja, Lagos State	20-Jun-19	2:00 p.m.	AGM
18.	Cadbury Nigeria Plc	Civic Centre, Victoria Island, Lagos	21-Jun-19	10:00 a.m.	AGM
19.	Law Union and Rock Insurance Plc	AGIP Recital Hall, Muson Centre, Onikan, Lagos	25-Jun-19	11:00 a.m.	AGM
20.	NEM Insurance Plc (48th and 49th AGM)	Federal Palace Hotel, Ahmadu Bello Way, Victoria Island, Lagos	25-Jun-19	10:00 a.m.	AGM
21.	UAC of Nigeria Plc	Arthur, Mbanefo Hall, Golden Tulip Hotel, Amuwo Odofin, Lagos	26-Jun-19	10:00 a.m.	AGM
22.	Jaiz Bank Plc	Shehu Musa Yar'Adua Center, No. 1, Memorial Drive, Abuja	26-Jun-19	11:00 a.m.	AGM
23.	Union Diagnostic & Clinical Services Plc	Conference Hall, Monty Suits, 37 Edet Akpan Avenue, Uyo Akwa Ibom State	26-Jun-19	9:00 a.m.	AGM
24.	Total Nigeria Plc	The Africa Ballroom, Lagos Continental Hotel, 52A, Kofo Abayomi Street, Victoria Island, Lagos	27-Jun-19	11:00 a.m.	AGM
25.	Tantalizers Plc	Silas Daniyan Hall, Golden Tulip Hotel, Amuwo Odofin, Mile 2, Lagos	27-Jun-19	11:00 a.m.	AGM



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General Meetings for July 2019

S/N	Company Name	Venue	Date	Time	Meeting Type
1.	Beta Glass Plc	Federal Palace Hotel, 6-8, Ahmadu Bello Way, Victoria Island, Lagos	4-Jul-19	12:00 Noon	AGM
2.	Secure Electronic Technology Plc	Events Warehouse, Plot CDE Industrial Crescent, Ilupeju, Lagos	11-Jul-19	12:00 Noon	AGM
3.	The Tourist Company of Nigeria Plc	Federal Palace Hotel & Casino, 6-8, Ahmadu Bello Way, Victoria Island, Lagos	18-Jul-19	10:00 a.m.	AGM
4.	Cement Company of Northern Nigeria Plc	Transcorp Hilton, Abuja	25-Jul-19	11:00 a.m.	AGM