



**DEALING MEMBER FIRMS NON-COMPLIANT WITH THE MINIMUM OPERATING STANDARDS (MOS) AS AT 17 JUNE 2019**

<b>SN</b>	<b>FIRM</b>	<b>STATUS</b>
1	Cadington Securities Limited	Inactive
2	Clearview Investments & Securities Ltd	Inactive
3	GMT Securities & Asset Management Limited	Inactive
4	Maven Asset Management Limited	Inactive
5	Mercov Securities Limited	Inactive
6	Resano Securities Limited	Inactive
7	Transafrika Financial Services Limited	Inactive