

CIRCULAR

NSE/RD/BDR/CIR8/20/05/15

15 May 2020

Circular to Dealing Member Firms on the Coronavirus Disease (COVID-19): Extension of Time for submission of the 2020 Self-Assessment Form, Quarterly Returns, Internal Review Report and Branch Report for the period ended 31 March 2020.

We refer to our circulars with reference **NSE/RD/BDR/CIR6/20/03/24** dated 24 March, 2020, and the circular of the Securities and Exchange Commission “Commission” dated 24 March 2020 granting all Dealing Member Firms an extension of time for the submission of their Audited Financial Statements for the year ended 31 December 2019 and the Quarterly Returns for the period ended 31 March 2020 as part of the palliatives to cushion the negative impact of the COVID-19 pandemic on Dealing Members. We also refer to our circular with reference **NSE/RD/BDR/CIR7/20/03/26** dated 26 March 2020 granting an extension of time for the submission of the 2020 self-assessment forms.

The Nigerian Stock Exchange “The Exchange” wishes to inform all Dealing Member Firms of its alignment with the above referenced circular of the Commission, which adequately provides Dealing Members an extension of time for the submission of the Audited Financial Statements for the year ended 31 December 2019 and Quarterly Returns for the period ended 31 March 2020.

Please note that the new deadline for the submission of the Quarterly Returns for the period ended 31 March 2020 and the 2020 self-assessment form to The Exchange is **Friday, 29 May 2020.**

For the avoidance of doubt, the Quarterly Returns for the period ended 31 March 2020 also includes the following reports:

- I. Branch and Representative Office Quarterly Report
- II. Broker/Dealer Quarterly Proprietary Trading Report
- III. Quarterly Error Trade Report

In light of the above, all DMFs are required to submit their Quarterly Returns for the period ended 31 March 2020 to The Exchange via the X-BOSS platform and the self-assessment forms via the links provided on or before close of business **Friday, 29 May 2020.**

For further clarifications on the above, please contact the Broker Dealer Regulation Department via nsebdr@nse.com.ng.

Please be guided accordingly.



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